

# Frustrated Quantum 1D Systems

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# Outline

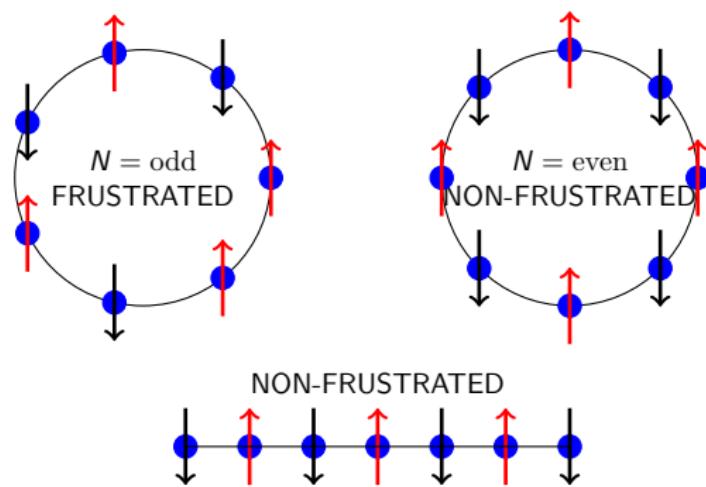
- Frustration
- Magnetization in the frustrated XY chain
  - symmetries, the exact solution, computing the magnetization
  - results: magnetization and the two-point correlation function, quantum phases
- Frustration and Cluster Ising models
- Conclusion

# Frustration

- competition of different interactions that cannot simultaneously minimize the energy of the system

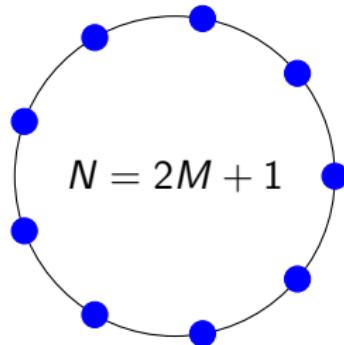
## Geometrical Frustration

- Ising antiferromagnet on non-bipartite lattices



## How to frustrate geometrically 1D (quantum) systems?

- Interactions that favor staggered order (e.g. antiferromagnetic)
- Odd number of lattice sites  $N$
- Periodic boundary conditions



- Quantum systems: the energy contributions of the non-commuting terms in the Hamiltonian cannot be simultaneously minimized

$$H = \sum_{j=1}^N \sigma_j^x \sigma_{j+1}^x + \sum_{j=1}^N \sigma_j^y \sigma_{j+1}^y$$

Quantum Mechanics + Geometrical Frustration → new properties?

Quantum Ising chain in a magnetic field:

[Dong et al J. Stat. Mech. 2016]

frustration induces a low-energy gapless spectrum above the ground state with a peculiar longitudinal spin–spin correlations, decaying linearly with distance

[Giampaolo et al. arXiv 2018]

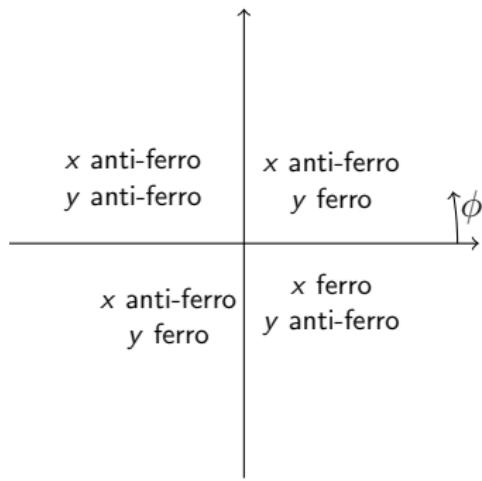
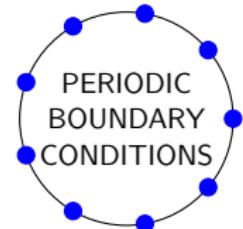
violation of the area law for the entanglement entropy

Line of research

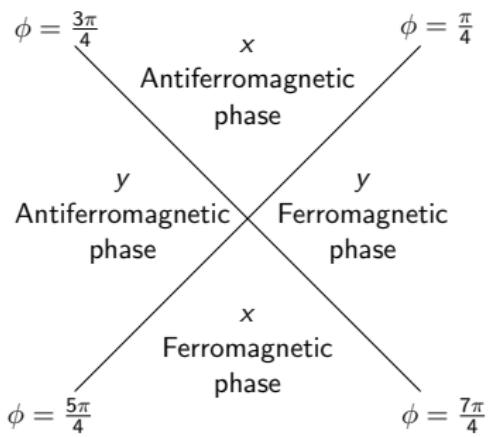
- solving frustrated quantum integrable models
- examining the zero-temperature properties: effects of frustration on quantum phases

# XY chain

$$H = -\cos \phi \sum_{j=1}^N \sigma_j^y \sigma_{j+1}^y + \sin \phi \sum_{j=1}^N \sigma_j^x \sigma_{j+1}^x, \quad N = \text{odd},$$



In the non-frustrated model:



# Symmetries

The parity operators:  $P_x = \prod_{j=1}^N \sigma_j^x$ ,  $P_y = \prod_{j=1}^N \sigma_j^y$ ,  $P_z = \prod_{j=1}^N \sigma_j^z$

$$[H, P_\alpha] = 0, \quad \alpha = x, y, z$$

$$\{P_\alpha, P_\beta\} = 0, \quad \alpha \neq \beta$$

Consequences:

- Exact (at least) two-fold degeneracy of every eigenstate.
- Possible to break the parity symmetry in the GS of a finite system.
- Possible to have a non-zero magnetization in the GS of a finite system.

$$\langle \sigma_j^x \rangle_{\text{GS}} \neq 0$$

- Hamiltonian has non-compatible symmetries: any GS breaks a symmetry of the Hamiltonian

# The Magnetization

- The magnetization  $\langle \sigma_j^x \rangle$  depends on the superposition coefficients.

$$|GS\rangle = \alpha |GS+\rangle + \beta |GS-\rangle , \quad |\alpha|^2 + |\beta|^2 = 1$$

$$P_z |GS\pm\rangle = \pm |GS\pm\rangle$$

$$\langle GS| \sigma_j^x |GS\rangle = \alpha^* \beta \langle GS+| \sigma_j^x |GS-\rangle + \text{c.c.}$$

- The order in the system is described by the maximal possible value.

In the thermodynamic limit of non-frustrated models:

$$|\langle GS+| \sigma_j^x |GS-\rangle| = \sqrt{\lim_{r \rightarrow \infty} \langle \sigma_j^x \sigma_{j+r}^x \rangle}$$

# Computing the Magnetization

$$P_z |GS+\rangle = |GS+\rangle , \quad P_z |GS-\rangle = -|GS-\rangle$$

$$\{P_x, P_z\} = 0$$

$$P_x |GS+\rangle = |GS-\rangle$$

$$\langle GS+ | \sigma_j^x | GS- \rangle = \langle GS+ | \sigma_j^x P_x | GS+ \rangle = \langle GS+ | \prod_{l \neq j}^N \sigma_l^x | GS+ \rangle$$

# The Exact Solution

spins  $\xrightarrow{\text{Jordan-Wigner transformation}}$  fermions

decomposition in two parity sectors ( $P_z = +1$  and  $P_z = -1$ )

$$H = \frac{1+P_z}{2} H^+ \frac{1+P_z}{2} + \frac{1-P_z}{2} H^- \frac{1-P_z}{2}$$

$\xrightarrow{\text{Bogoliubov transformation}}$  free fermions in each sector

$$H^+ = (-\cos \phi + \sin \phi) \left( \chi_0^\dagger \chi_0 - \frac{1}{2} \right) + \sum_{q \in \{1, 2, \dots, N-1\}} \Lambda_q \left( \chi_q^\dagger \chi_q - \frac{1}{2} \right)$$

$$H^- = (\cos \phi - \sin \phi) \left( \chi_{\frac{N}{2}}^\dagger \chi_{\frac{N}{2}} - \frac{1}{2} \right) + \sum_{q \in \left\{ \frac{1}{2}, \frac{3}{2}, \dots, N-\frac{1}{2} \right\}, q \neq \frac{N}{2}} \Lambda_q \left( \chi_q^\dagger \chi_q - \frac{1}{2} \right)$$

$$\text{mode energies: } \Lambda_q = [1 - \sin 2\phi \cos(4\pi q/N)]^{1/2}$$

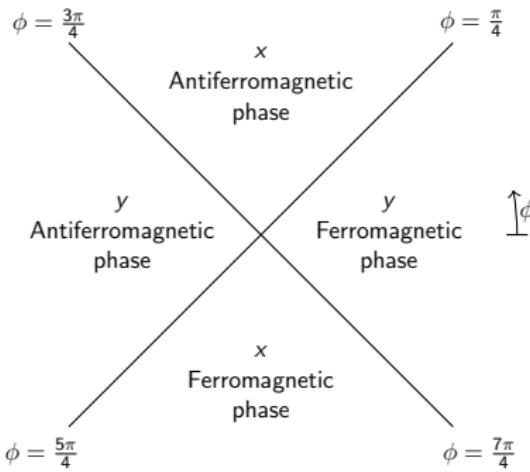
$$H = \frac{1+P_z}{2} H^+ \frac{1+P_z}{2} + \frac{1-P_z}{2} H^- \frac{1-P_z}{2}$$

Constructing the ground state: Adding excitations  $\chi_q^\dagger$  to the states of the BCS form to satisfy the parity requirements.

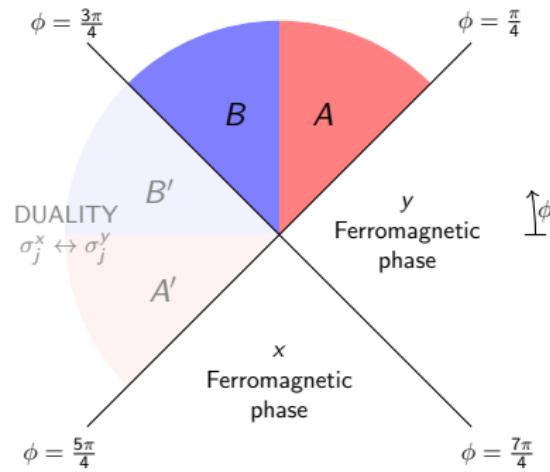
Where antiferromagnetic interactions dominate:

- GS of  $H$  is not the GS of  $H^\pm$ .
- gapless, gap  $\sim \frac{1}{N^2}$

$$H = -\cos \phi \sum_{j=1}^N \sigma_j^y \sigma_{j+1}^y + \sin \phi \sum_{j=1}^N \sigma_j^x \sigma_{j+1}^x$$



Non-frustrated model.



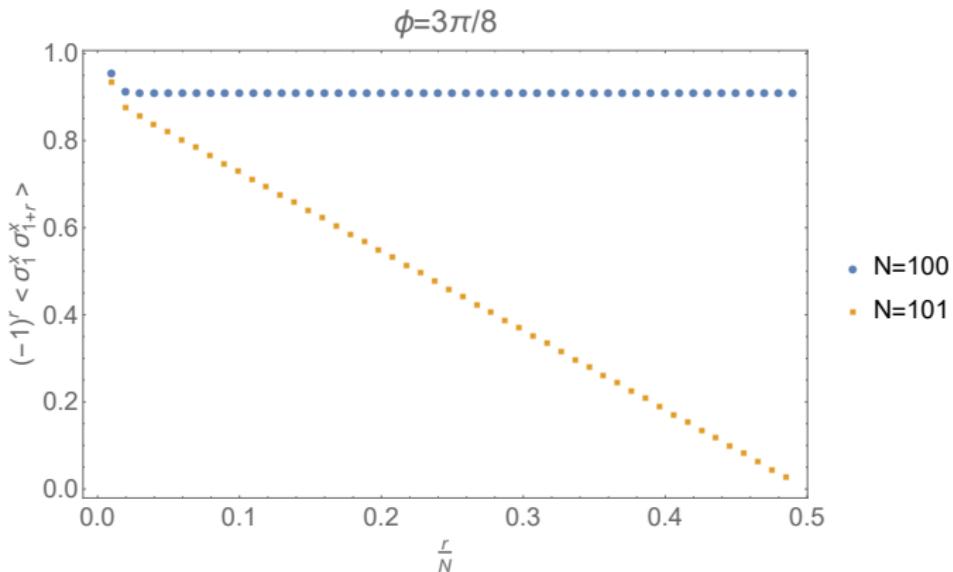
Frustrated model.

Region A: GS degeneracy = 2

Region B: GS degeneracy = 4, possible to break the translational invariance

# The two-point correlator

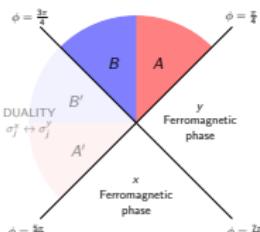
Out[7]=



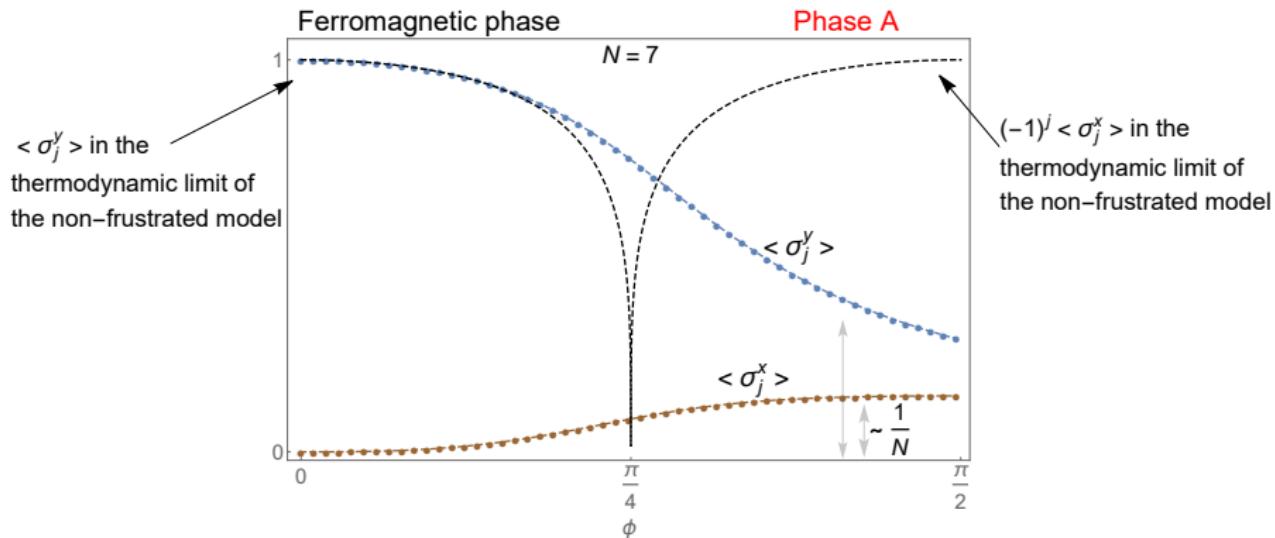
Regions A and B:

$$\langle \sigma_j^x \sigma_{j+r}^x \rangle = (-1)^r (1 - \cot^2 \phi)^{\frac{1}{2}} \left( 1 - \frac{2r}{N} \right)$$

result analogous to [Dong et al J. Stat. Mech. 2016]



# Phase A - Mesoscopic Magnetization



$$\text{Phase A: } \langle \sigma_j^x \rangle = \frac{1}{N} (1 - \cot^2 \phi)^{\frac{1}{4}}$$

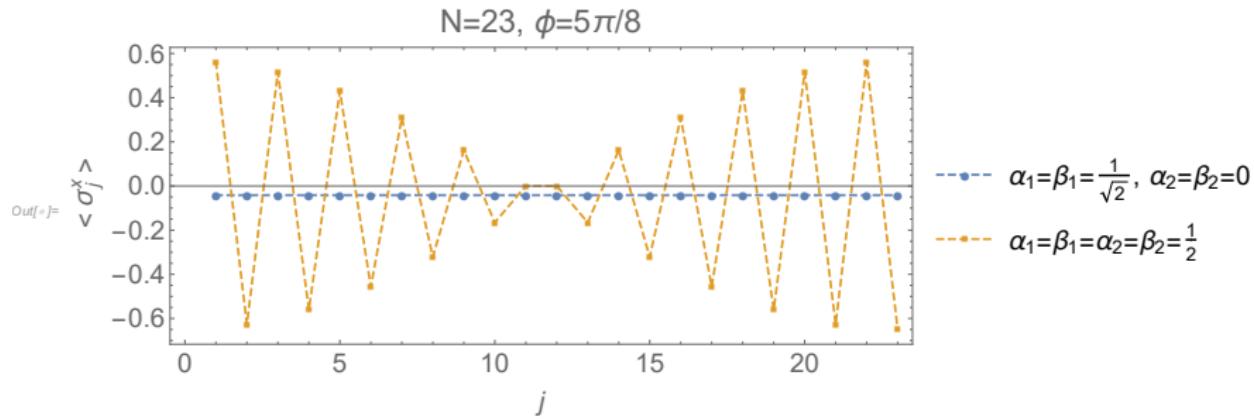
## Phase B - Breaking of Translational Invariance

$$|GS\rangle = \alpha_1 |GS_1+\rangle + \alpha_2 |GS_2+\rangle + \beta_1 |GS_1-\rangle + \beta_2 |GS_2-\rangle$$

$|GS_{1,2}\pm\rangle$  are eigenvectors of lattice translation operator with eigenvalues

$$e^{i\left(\frac{\pi}{2} + \frac{\pi}{2N}\right)}, e^{-i\left(\frac{\pi}{2} + \frac{\pi}{2N}\right)}$$

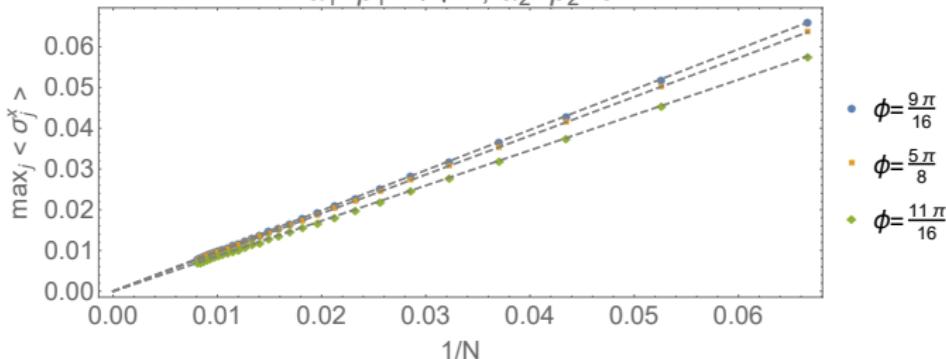
We can compute the magnetization in the case  $\alpha_1 = \beta_1, \alpha_2 = \beta_2$



# $\max_j \langle \sigma_j^x \rangle$ vs $N$

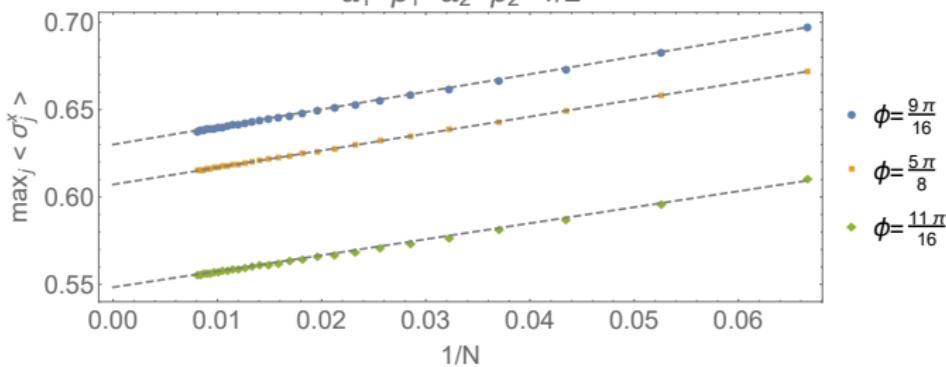
Out[ $\circ$ ] =

$$\alpha_1 = \beta_1 = 1/\sqrt{2}, \alpha_2 = \beta_2 = 0$$



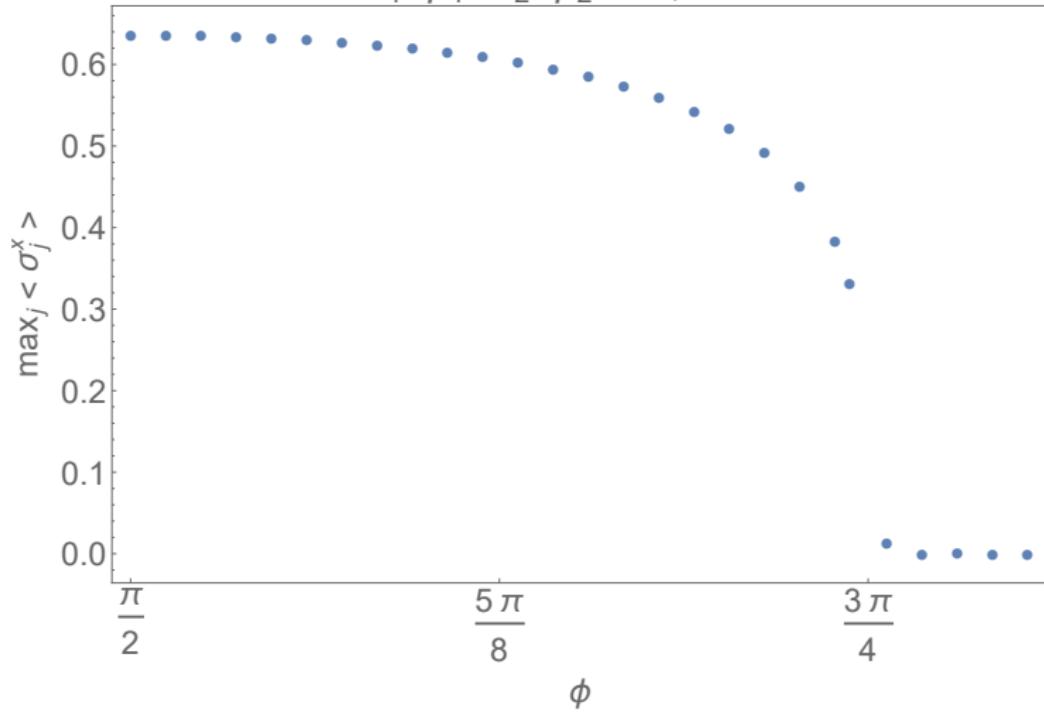
Out[ $\circ$ ] =

$$\alpha_1 = \beta_1 = \alpha_2 = \beta_2 = 1/2$$

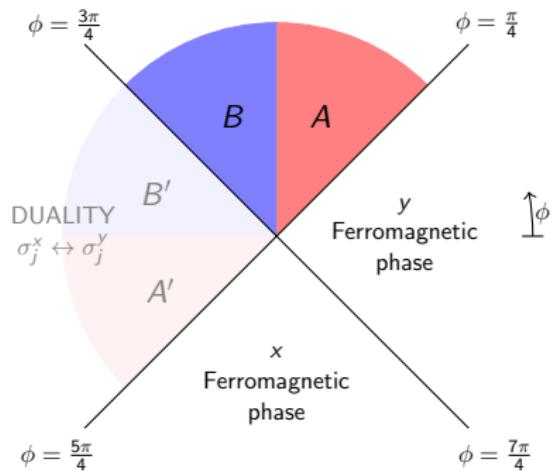


$\max_j \langle \sigma_j^x \rangle$  in the thermodynamic limit

$$\alpha_1 = \beta_1 = \alpha_2 = \beta_2 = 1/2, \quad N \rightarrow \infty$$



# Magnetization - Summary



Frustrated model.

Ferromagnetic phase ( $y$ )

GS degeneracy=2,

$$\langle \sigma_j^x \rangle = 0, \langle \sigma_j^y \rangle = (1 - \cot^2 \phi)^{\frac{1}{4}}$$

Phase A

GS degeneracy=2,

$$\langle \sigma_j^x \rangle, \langle \sigma_j^y \rangle \sim \frac{1}{N}$$

Phase B

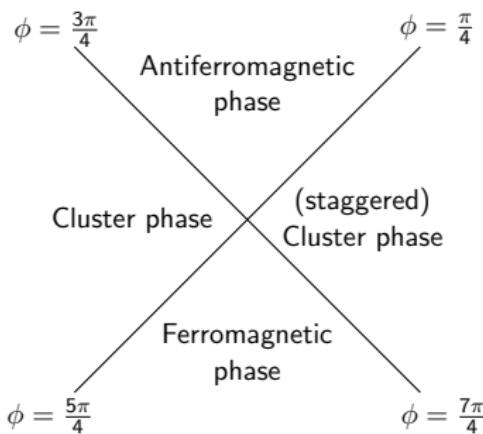
GS degeneracy=4, possible to break  
the translational invariance

$$\max_j \langle \sigma_j^x \rangle \sim a + \frac{b}{N}, \max_j \langle \sigma_j^y \rangle \sim \frac{1}{N}$$

# Cluster Ising Model

[Smacchia et. al. Phys.Rev.A 2011]

$$H = -\cos \phi \sum_{j=1}^N \sigma_j^y \sigma_{j-1}^z \sigma_{j+1}^y + \sin \phi \sum_{j=1}^N \sigma_j^x \sigma_{j+1}^x$$



String operator:

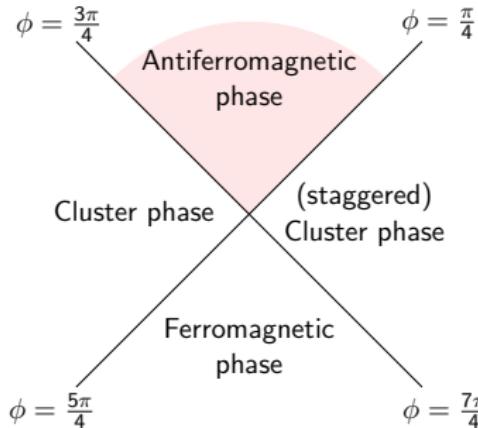
$$O_s(r) = \sigma_1^y \sigma_2^x \left( \prod_{l=3}^r \sigma_l^z \right) \sigma_{r+1}^x \sigma_{r+2}^y$$

Cluster phase:

$$\lim_{r \rightarrow \infty} \langle O_s(r) \rangle = (1 - \tan^2 \phi)^{\frac{3}{4}}$$

## Results

$N = 2M + 1$ , Periodic Boundary Conditions



The cluster phase cannot be frustrated.

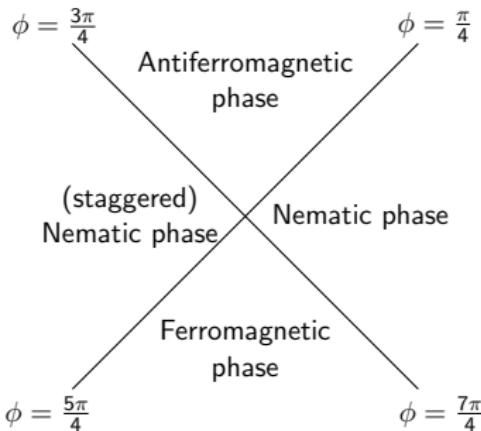
In AF phase of a finite system:

- GS is 3-fold degenerate when  $N$  is divisible by 3.
- Breaking of translational symmetry, but not parity symmetry.

# 2-Cluster Ising Model

[Giampaolo et. al. Phys.Rev.A 2015]

$$H = -\cos \phi \sum_{j=1}^N \sigma_j^y \sigma_{j-1}^z \sigma_j^z \sigma_{j+1}^z \sigma_{j+2}^y + \sin \phi \sum_{j=1}^N \sigma_j^x \sigma_{j+1}^x$$



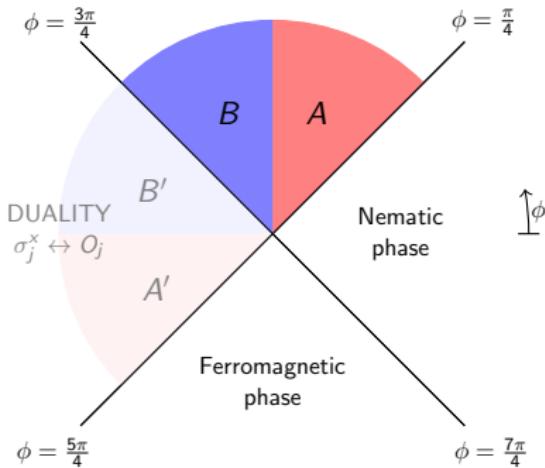
Nematic operator:  $O_j = \sigma_j^y \sigma_{j-1}^x \sigma_{j+1}^y$

Nematic phase:

$$\lim_{r \rightarrow \infty} \langle O_j O_{j+r} \rangle = 1 - \tan^2 \phi$$

- The same symmetries as in the XY chain.
- Duality:  $\phi \leftrightarrow \frac{3\pi}{2} - \phi$ ,  $O_j \leftrightarrow \sigma_j^x$

$$H = -\cos \phi \sum_{j=1}^N \sigma_j^y \sigma_{j-1}^z \sigma_{j+1}^z \sigma_{j+2}^y + \sin \phi \sum_{j=1}^N \sigma_j^x \sigma_{j+1}^x$$



The nematic operator  $O_j$  plays the role of  $\sigma_j^y$  in the XY chain.

The results are qualitatively the same.

Frustrated model.

# Conclusion

- Different boundary conditions may result in a different behavior of the magnetization in the thermodynamic limit.
- Method of computing the magnetization in the XY chain.
- Three different phases characterized by the magnetization.
- Connection between frustration and supersymmetries?

Papers in preparation:

- Magnetization in the Frustrated XY chain
- Frustration and Nematic Order
- Frustration and Cluster Ising Model

Possible future directions:

- dynamics of the models
- frustration and SUSY

# People Involved



Fabio Franchini



Salvatore Marco  
Giampaolo



Domagoj Kuić

