

# Axial gravity, massless fermions and trace anomalies

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# Introduction

- A symmetry of the classical action is a transformation of the fields that leaves the action invariant.
- Are these symmetries still valid in the quantum theory?

If not, the theory is anomalous!

- Two types of anomalies:
  - 1 Harmless
  - 2 Harmful - destroy consistency of QFT

# Symmetries of classical action

- Classical action  $S$  is describing some matter field coupled to a curved background  $g_{\mu\nu}$ .
- Local diff - transformations:  $\delta_\xi g_{\mu\nu} = \nabla_\mu \xi_\nu + \nabla_\nu \xi_\mu$

If classical action is invariant  $\Rightarrow$  EMT is conserved

$$\delta_\xi S = 0 \quad \Rightarrow \quad \nabla^\mu T_{\mu\nu} = 0$$

- Energy-momentum tensor

$$T_{\mu\nu} = \frac{2}{\sqrt{g}} \frac{\delta S}{\delta g^{\mu\nu}}$$

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- Energy-momentum tensor

$$T_{\mu\nu} = \frac{2}{\sqrt{g}} \frac{\delta S}{\delta g^{\mu\nu}}$$

- Local Weyl transformations:  $\delta_\omega g_{\mu\nu} = \omega(x) g_{\mu\nu}$

If classical action is invariant  $\Rightarrow$  EMT is traceless

$$\delta_\omega S = 0 \quad \Rightarrow \quad T^\mu{}_\mu = 0$$

# Ward identities

- Fundamental object is partition function:

$$Z[g] = \int D\bar{\psi} D\psi e^{iS}$$

- Effective action:

$$Z[g] = e^{iW[g]} \Rightarrow W[g] = -i \ln Z[g]$$

- Expectation (1-point function) of the EMT:

$$\langle\langle T_{\mu\nu}(x) \rangle\rangle = \frac{1}{Z[g]} \int D\bar{\psi} D\psi T_{\mu\nu}(x) e^{iS} = \frac{2}{\sqrt{g}} \frac{\delta W[g]}{\delta g^{\mu\nu}}$$

# Ward identities

- If the quantum theory has the same symmetries as classical action

## Ward identity for diff-invariance

$$\nabla^\mu \langle\langle T_{\mu\nu}(x) \rangle\rangle = 0$$

## Ward identity for Weyl invariance

$$\langle\langle T^\mu_\mu(x) \rangle\rangle = 0$$

- If classical symmetry breaks after quantization  $\Rightarrow$  anomalies

# Diff and trace anomalies

- EMT conserved

## Diff anomaly

$$\nabla^\mu \langle\langle T_{\mu\nu}(x) \rangle\rangle = 0$$

- Symmetry under local Weyl transformations is broken

## Trace anomaly - P-even part

$$\langle\langle T^\mu_\mu(x) \rangle\rangle = a E + c W^2 \quad [\text{Capper, Duff 1975}]$$

$$\text{Euler density } E = R_{\mu\nu\lambda\rho} R^{\mu\nu\lambda\rho} - 4R_{\mu\nu} R^{\mu\nu} + R^2$$

$$\text{Weyl density } W^2 = R_{\mu\nu\lambda\rho} R^{\mu\nu\lambda\rho} - 2R_{\mu\nu} R^{\mu\nu} + \frac{1}{3}R^2$$

# Diff and trace anomalies

- From WZ consistency conditions

- ▶ There is no diff-anomalies

$$\nabla^\mu \langle\langle T_{\mu\nu}(x) \rangle\rangle = 0$$

- ▶ General form of trace anomaly is

$$\langle\langle T^\mu_\mu(x) \rangle\rangle = a E + c W^2 + e P \quad [\text{Bonora, Pasti, Tonin 1986}]$$

Pontryagin density

$$P = \frac{1}{2} \varepsilon^{\mu\nu\rho\sigma} R_{\mu\nu}{}^{\alpha\beta} R_{\rho\sigma\alpha\beta}$$



# Original calculation

[Bonora et al. 2014]

- Consider the action for left-handed Weyl fermion coupled to curved background in 4d.

$$S = \int d^4x \sqrt{|g|} i\bar{\psi}_L \gamma^\mu \left( \nabla_\mu + \frac{1}{2} \omega_\mu \right) \psi_L$$

- Perturbative calculation around flat background

$$g_{\mu\nu} = \eta_{\mu\nu} + h_{\mu\nu}$$

with redefinition of the field  $\psi \rightarrow |g|^{\frac{1}{4}} \psi$

- The action up to  $h^2$

$$S \approx \int d^4x \left[ \frac{i}{2} (\delta_a^\mu - \frac{1}{2} h_a^\mu) \bar{\psi}_L \gamma^a \overleftrightarrow{\partial}_\mu \psi_L + \frac{1}{16} \epsilon^{\mu abc} \partial_\mu h_{a\lambda} h_b^\lambda \bar{\psi}_L \gamma_c \gamma_5 \psi_L \right]$$

# Original calculation

- The calculation of trace anomaly is based on Feynmann diagrams and dimensional regularization
- Vertices

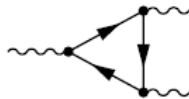
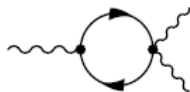
$$V_{ffh} : -\frac{i}{8} [(p + p')_{\mu} \gamma_{\nu} + (p + p')_{\nu} \gamma_{\mu}] P_L$$

$$V_{ffhh}^{\epsilon} : \frac{1}{64} t_{\mu\nu\mu'\nu'\kappa\lambda} (k - k')^{\lambda} \gamma^{\kappa} P_L$$

where  $P_L = \frac{1+\gamma_5}{2}$  and

$$t_{\mu\nu\mu'\nu'\kappa\lambda} = \eta_{\mu\mu'} \epsilon_{\nu\nu'\kappa\lambda} + \eta_{\nu\nu'} \epsilon_{\mu\mu'\kappa\lambda} + \eta_{\mu\nu'} \epsilon_{\nu\mu'\kappa\lambda} + \eta_{\nu\mu'} \epsilon_{\mu\nu'\kappa\lambda}$$

- Diagrams



# Original calculation

- Parity-odd part of trace of EMT at  $h^2$  order

$$\langle\langle T_{\mu}^{\mu}(x)\rangle\rangle_{\text{P}}^{(2)} = \frac{1}{2} \int d^4x_1 d^4x_2 \mathcal{T}^{\mu_1\nu_1\mu_2\nu_2}(x, x_1, x_2) h_{\mu_1\nu_1}(x_1) h_{\mu_2\nu_2}(x_2)$$

where

$$\mathcal{T}^{\mu_1\nu_1\mu_2\nu_2}(x, x_1, x_2) = -\eta_{\mu\nu} \langle T_{(0)}^{\mu\nu}(x) T_{(0)}^{\mu_1\nu_1}(x_1) T_{(0)}^{\mu_2\nu_2}(x_2)\rangle_{\text{P-odd}}$$

- $T_{(0)}^{\mu\nu}$  is the energy momentum tensor in the flat space

$$T_{(0)}^{\mu\nu} = -\frac{i}{4} \left( \overline{\psi}_L \gamma^{\mu} \overleftrightarrow{\partial}^{\nu} \psi_L + (\mu \leftrightarrow \nu) \right)$$

# Original calculation

- The explicit calculation gives [Bonora et al. 2014]

$$\langle\langle T_{\mu}^{\mu} \rangle\rangle_{\text{P}}^{(2)} = \frac{i}{768\pi^2} \epsilon^{\mu\nu\lambda\rho} (\partial_{\mu}\partial_{\sigma}h_{\nu}^{\tau}\partial_{\lambda}\partial_{\tau}h_{\rho}^{\sigma} - \partial_{\mu}\partial_{\sigma}h_{\nu}^{\tau}\partial_{\lambda}\partial^{\sigma}h_{\tau\rho})$$

- Covariantize:

$$\mathcal{A}_0 \equiv \langle\langle T_{\mu}^{\mu} \rangle\rangle = \frac{i}{768\pi^2} P \quad \Rightarrow \quad e_L = \frac{i}{768\pi^2}$$

- For RH fermion  $e_R = -\frac{i}{768\pi^2}$

- Calculate in 2 ways:
  - 1 Repeat calculation for P-odd part of the trace anomaly for Weyl fermions coupled to curved background in 4d in a more pedantic way
  - 2 Introduce axial gravity - use Dirac fermions coupled to metric-axial-tensor (MAT) gravity

# 1. Calculation with Weyl fermions

- No redefinition of the field
- There are additional vertices, up to  $h^2$  order:

$$V'_{ffh} : \frac{i}{4} \eta_{\mu\nu} (\not{p} + \not{p}') P_L$$

$$V'_{ffhh} : \frac{3i}{64} \left[ ((p + p')_\mu \gamma_{\mu'} \eta_{\nu\nu'} + (p + p')_\mu \gamma_{\nu'} \eta_{\nu\mu'} + \{\mu \leftrightarrow \nu\}) \right. \\ \left. + ((p + p')_{\mu'} \gamma_{\mu} \eta_{\nu\nu'} + (p + p')_{\mu'} \gamma_{\nu} \eta_{\mu\nu'} + \{\mu' \leftrightarrow \nu'\}) \right] P_L$$

$$V''_{ffhh} : -\frac{i}{16} \left[ \eta_{\mu\nu} ((p + p')_{\mu'} \gamma_{\nu'} + (p + p')_{\nu'} \gamma_{\mu'}) \right. \\ \left. + \eta_{\mu'\nu'} ((p + p')_\mu \gamma_\nu + (p + p')_\nu \gamma_\mu) \right] P_L$$

$$V'''_{ffhh} : \frac{i}{8} (\not{p} + \not{p}') (\eta_{\mu\nu} \eta_{\mu'\nu'} - \eta_{\mu\nu'} \eta_{\mu'\nu} - \eta_{\mu\mu'} \eta_{\nu\nu'}) P_L$$

# 1. Calculation with Weyl fermions

- Flat-space energy momentum  $T_{(0)}^{\mu\nu}(x)$  contains an additional term

$$T_{(0)}^{\mu\nu} = -\frac{i}{4} \left( \overline{\psi}_L \gamma^\mu \overleftrightarrow{\partial}^\nu \psi_L + (\mu \leftrightarrow \nu) \right) + \frac{i}{2} \eta^{\mu\nu} \overline{\psi}_L \gamma^\lambda \overleftrightarrow{\partial}_\lambda \psi_L$$

- The explicit calculation gives

$$\langle\langle T_\mu^\mu \rangle\rangle_P^{(2)} = -\frac{3i}{768\pi^2} \epsilon^{\mu\nu\lambda\rho} (\partial_\mu \partial_\sigma h_\nu^\tau \partial_\lambda \partial_\tau h_\rho^\sigma - \partial_\mu \partial_\sigma h_\nu^\tau \partial_\lambda \partial^\sigma h_{\tau\rho})$$

- Covariantize the result

$$\langle\langle T_\mu^\mu \rangle\rangle = -\frac{3i}{768\pi^2} P$$

- Not the result we expect!

# 1. Calculation with Weyl fermions

- Check conservation of EMT, it is not zero

$$\nabla^\mu \langle\langle T_{\mu\nu}(x) \rangle\rangle \neq 0$$

- Introduce counterterm

$$\mathcal{C} = -\frac{1}{2} \int \omega h_\mu^\mu \mathcal{A}_0,$$

which cancels diff-anomaly and trace anomaly becomes

$$\langle\langle T_\mu^\mu \rangle\rangle = \frac{i}{768\pi^2} P$$



## 2. MAT gravity

- Inspired by Bardeen's method for chiral gauge anomalies
- Use Dirac field and avoid subtleties with Weyl fermions
- In addition to ordinary gravity introduce axial metric  $\Rightarrow$  metric-axial-tensor (MAT) gravity

$$G_{\mu\nu} = g_{\mu\nu} + \gamma_5 f_{\mu\nu}$$

## 2. MAT gravity

- Vielbein:

$$E_{\mu}^a = e_{\mu}^a + \gamma_5 c_{\mu}^a, \quad \hat{E}_a^{\mu} = \hat{e}_a^{\mu} + \gamma_5 \hat{c}_a^{\mu}$$

- Connection:

$$\Gamma_{\mu\nu}^{\lambda} = \Gamma_{\mu\nu}^{(1)\lambda} + \gamma_5 \Gamma_{\mu\nu}^{(2)\lambda}$$

- Riemann:

$$\mathcal{R}_{\mu\nu\lambda}^{\rho} = \mathcal{R}_{\mu\nu\lambda}^{(1)\rho} + \gamma_5 \mathcal{R}_{\mu\nu\lambda}^{(2)\rho}$$

- The MAT spin connection is introduced in analogy

$$\Omega_{\mu}^{ab} = E_{\nu}^a \left( \partial_{\mu} \hat{E}^{\nu b} + \hat{E}^{\sigma b} \Gamma_{\sigma\mu}^{\nu} \right) = \Omega_{\mu}^{(1)ab} + \gamma_5 \Omega_{\mu}^{(2)ab}$$

## 2. MAT gravity

- The action

$$S = \int d^4x i\bar{\psi} \sqrt{|\bar{G}|} \gamma^a \hat{E}_a^\mu \left( \partial_\mu + \frac{1}{2} \Omega_\mu \right) \psi \equiv \int d^4x \bar{\psi} \sqrt{|\bar{G}|} \mathcal{O} \psi$$

is invariant under diffeomorphisms with parameter  $\Xi^\mu = \xi^\mu + \gamma_5 \zeta^\mu$

$$\delta_\Xi G_{\mu\nu} = \mathcal{D}_\mu \Xi_\nu + \mathcal{D}_\nu \Xi_\mu$$

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$$\delta_\Xi G_{\mu\nu} = \mathcal{D}_\mu \Xi_\nu + \mathcal{D}_\nu \Xi_\mu$$

- There are two independent classically conserved EMT

$$T^{\mu\nu} = 2\bar{\psi} \overleftarrow{\delta} \frac{\mathcal{O}}{\delta G_{\mu\nu}} \psi$$

$$T_5^{\mu\nu} = 2\bar{\psi} \overleftarrow{\delta} \frac{\mathcal{O}}{\delta G_{\mu\nu}} \gamma_5 \psi$$

## 2. MAT gravity

- There are two types of Weyl transformations: the usual

$$\delta_{\omega} G_{\mu\nu} = 2\omega G_{\mu\nu}$$

and the axial one

$$\delta_{\eta} G_{\mu\nu} = 2\gamma_5 \eta G_{\mu\nu}$$

- Two trace conditions

$$T^{\mu\nu} g_{\mu\nu} + T_5^{\mu\nu} f_{\mu\nu} = 0,$$

$$T^{\mu\nu} f_{\mu\nu} + T_5^{\mu\nu} g_{\mu\nu} = 0,$$

## 2. MAT gravity

- Expand the action

$$S = \int d^4x i\bar{\psi} \sqrt{|\bar{G}|} \gamma^a \hat{E}_a^\mu \left( \partial_\mu + \frac{1}{2} \Omega_\mu \right) \psi$$

- We use redefinition  $\psi \rightarrow |\bar{G}|^{\frac{1}{4}} \psi$  and expand around flat background

$$g_{\mu\nu} = \eta_{\mu\nu} + h_{\mu\nu}, \quad f_{\mu\nu} = k_{\mu\nu}$$

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$$g_{\mu\nu} = \eta_{\mu\nu} + h_{\mu\nu}, \quad f_{\mu\nu} = k_{\mu\nu}$$

- Vertices: The 2-fermion-1-graviton vertices:

$$V_{ffh} : -\frac{i}{8} \left[ (p + p')_\mu \gamma_\nu + (p + p')_\nu \gamma_\mu \right]$$

$$V_{ffk} : -\frac{i}{8} \left[ (p + p')_\mu \gamma_\nu + (p + p')_\nu \gamma_\mu \right] \gamma_5$$

## 2. MAT gravity

- There are six 2-fermion-2-graviton vertices:

$$\begin{aligned}
 V_{ffhh} &: \frac{3i}{64} \left[ ((p + p')_\mu \gamma_{\mu'} \eta_{\nu\nu'} + (p + p')_\mu \gamma_{\nu'} \eta_{\nu\mu'} + \{\mu \leftrightarrow \nu\}) \right. \\
 &\quad \left. + ((p + p')_{\mu'} \gamma_\mu \eta_{\nu\nu'} + (p + p')_{\mu'} \gamma_\nu \eta_{\mu\nu'} + \{\mu' \leftrightarrow \nu'\}) \right] \\
 V_{ffkk} &: \frac{3i}{64} \left[ ((p + p')_\mu \gamma_{\mu'} \eta_{\nu\nu'} + (p + p')_\mu \gamma_{\nu'} \eta_{\nu\mu'} + \{\mu \leftrightarrow \nu\}) \right. \\
 &\quad \left. + ((p + p')_{\mu'} \gamma_\mu \eta_{\nu\nu'} + (p + p')_{\mu'} \gamma_\nu \eta_{\mu\nu'} + \{\mu' \leftrightarrow \nu'\}) \right] \\
 V_{ffhk} &: \frac{3i}{64} \left[ ((p + p')_\mu \gamma_{\mu'} \eta_{\nu\nu'} + (p + p')_\mu \gamma_{\nu'} \eta_{\nu\mu'} + \{\mu \leftrightarrow \nu\}) \right. \\
 &\quad \left. + ((p + p')_{\mu'} \gamma_\mu \eta_{\nu\nu'} + (p + p')_{\mu'} \gamma_\nu \eta_{\mu\nu'} + \{\mu' \leftrightarrow \nu'\}) \right] \gamma_5 \\
 V_{ffhh}^\epsilon &: \frac{1}{64} t_{\mu\nu\mu'\nu'\kappa\lambda} (k - k')^\lambda \gamma^\kappa \gamma_5 \\
 V_{ffkk}^\epsilon &: \frac{1}{64} t_{\mu\nu\mu'\nu'\kappa\lambda} (k - k')^\lambda \gamma^\kappa \gamma_5 \\
 V_{ffhk}^\epsilon &: \frac{1}{64} t_{\mu\nu\mu'\nu'\kappa\lambda} (k - k')^\lambda \gamma^\kappa
 \end{aligned}$$



## 2. MAT gravity

- Flat space EMT

$$T^{\mu\nu} \equiv T_{(0,0)}^{\mu\nu} = -\frac{i}{4} \left( \bar{\psi} \gamma^\mu \overleftrightarrow{\partial}^\nu \psi + \mu \leftrightarrow \nu \right),$$

and

$$T_5^{\mu\nu} \equiv T_{5(0,0)}^{\mu\nu} = \frac{i}{4} \left( \bar{\psi} \gamma_5 \gamma^\mu \overleftrightarrow{\partial}^\nu \psi + \mu \leftrightarrow \nu \right)$$

- The quantum Ward identities for the Weyl and axial Weyl symmetry

$$\mathcal{T}(x) \equiv \langle\langle T^{\mu\nu} \rangle\rangle g_{\mu\nu} + \langle\langle T_5^{\mu\nu} \rangle\rangle f_{\mu\nu} = 0$$

and

$$\mathcal{T}_5(x) \equiv \langle\langle T^{\mu\nu} \rangle\rangle f_{\mu\nu} + \langle\langle T_5^{\mu\nu} \rangle\rangle g_{\mu\nu} = 0$$

## 2. MAT gravity

- It turns out that only the 3-point correlators contribute
- Up to second order in the graviton field (lowest order)

$$\langle\langle T_{\mu}^{\mu} \rangle\rangle = -\frac{i}{384\pi^2} \epsilon^{\mu\nu\lambda\rho} (\partial_{\mu}\partial_{\sigma}h_{\nu}^{\tau} \partial_{\lambda}\partial_{\tau}k_{\rho}^{\sigma} - \partial_{\mu}\partial_{\sigma}h_{\nu}^{\tau} \partial_{\lambda}\partial^{\sigma}k_{\tau\rho})$$

$$\begin{aligned} \langle\langle \mathcal{T}_{5\ \mu}^{\mu} \rangle\rangle &= -\frac{i}{768\pi^2} \epsilon^{\mu\nu\lambda\rho} (\partial_{\mu}\partial_{\sigma}h_{\nu}^{\tau} \partial_{\lambda}\partial_{\tau}h_{\rho}^{\sigma} - \partial_{\mu}\partial_{\sigma}h_{\nu}^{\tau} \partial_{\lambda}\partial^{\sigma}h_{\tau\rho}) \\ &\quad -\frac{i}{768\pi^2} \epsilon^{\mu\nu\lambda\rho} (\partial_{\mu}\partial_{\sigma}k_{\nu}^{\tau} \partial_{\lambda}\partial_{\tau}k_{\rho}^{\sigma} - \partial_{\mu}\partial_{\sigma}k_{\nu}^{\tau} \partial_{\lambda}\partial^{\sigma}h_{\tau\rho}) \end{aligned}$$

## 2. MAT gravity

- Covariantize the results:

$$\langle\langle T_{\mu}^{\mu}(x) \rangle\rangle = \frac{i}{768\pi^2} \epsilon^{\mu\nu\lambda\rho} \mathcal{R}_{\mu\nu}^{(1)\sigma\tau} \mathcal{R}_{\lambda\rho\sigma\tau}^{(2)}$$

$$\langle\langle T_{5\mu}^{\mu}(x) \rangle\rangle = \frac{i}{1536\pi^2} \epsilon^{\mu\nu\lambda\rho} \left( \mathcal{R}_{\mu\nu}^{(1)\sigma\tau} \mathcal{R}_{\lambda\rho\sigma\tau}^{(1)} + \mathcal{R}_{\mu\nu}^{(2)\sigma\tau} \mathcal{R}_{\lambda\rho\sigma\tau}^{(2)} \right)$$

## 2. MAT gravity

- Collapsing limit (for left-handed Weyl fermion)

$$h_{\mu\nu} \rightarrow \frac{h_{\mu\nu}}{2}, \quad k_{\mu\nu} \rightarrow \frac{h_{\mu\nu}}{2}$$

- The anomaly becomes

$$\langle\langle T_{\mu}^{\mu} \rangle\rangle = \frac{i}{768\pi^2} P$$

## 2. MAT gravity

- Collapsing limit (for right-handed Weyl fermion)

$$h_{\mu\nu} \rightarrow \frac{h_{\mu\nu}}{2}, \quad k_{\mu\nu} \rightarrow -\frac{h_{\mu\nu}}{2}$$

- The anomaly becomes

$$\langle\langle T_{\mu}^{\mu} \rangle\rangle = -\frac{i}{768\pi^2} P$$

## 2. MAT gravity

- Collapsing limit

$$h_{\mu\nu} \rightarrow h_{\mu\nu}, \quad k_{\mu\nu} \rightarrow 0$$

- The action reduces to the Dirac action (or to Majorana action if  $\psi$  satisfies reality condition)
- The anomaly, as expected, vanishes

$$\langle\langle T_{\mu}^{\mu} \rangle\rangle = 0$$

- There is an anomaly in the axial sector (analog of Kimura-Delbourgo-Salam anomaly for the axial current)

$$\langle\langle T_{5\mu}^{\mu} \rangle\rangle = \frac{i}{768\pi^2} P$$

# Conclusion

- Recalculated the parity odd trace anomaly in two ways:
  - ▶ With Weyl fermions
  - ▶ MAT gravity with Dirac fermions

P-odd part is given by Pontryagin density in 4d

- The usual opposing argument: "In 4d massless Weyl and Majorana fermion are indistinguishable."
  - ▶ Classically, there is one-to-one correspondence between massless Weyl and Majorana fermion
  - ▶ We do not expect Pontryagin anomaly for Majorana fermion
  - ▶ However, the path integral measure is different for Majorana and Weyl fermion!

# Conclusion

- Strange imaginary coefficient  $e = \pm \frac{i}{768\pi^2}$ 
  - ▶ Could break unitarity
  - ▶ Theories with chiral unbalance not consistent
  - ▶ Only Dirac and Majorana fermions!
- Outlook:
  - ▶ Schwinger-DeWitt method
  - ▶ Calculation with different regularizations